

THE UNITED ILLUMINATING COMPANY

Rider SCEF Shared Clean Energy Facility Pilot Program Enrollment Form

Attachment 2

Effective Date: October 1, 2019

This Enrollment Form addresses Shared Clean Energy Facility (“SCEF”) enrollment processes set forth in Public Act 15-133, *An Act Establishing a Shared Clean Energy Facility Pilot Program, as amended by Public Act 16-116, An Act Concerning the Shared Clean Energy Facility Pilot Program* (“the Act”) and is applicable to a Subscriber Organization with a Shared Clean Energy Facility within the Company’s¹ Service Territory that have been selected as a winning bidder through the Department of Energy and Environmental Protection’s (“DEEP”) Request for Proposal (“RFP”) process and that such selection has subsequently been approved by the Public Utilities Regulatory Authority (“PURA”). The Enrollment Form is further subject to the Company’s Shared Clean Energy Facility Rider Pilot (“Rider”) and the Terms and Conditions contained in Attachment 1 of the Rider.

A selected and approved Subscriber Organization (“Applicant”) seeking enrollment under this SCEF Pilot Program should submit the attached Enrollment Form, along with all required documentation and verification, to the Company within fifteen (15) days of being approved by PURA. Filing instructions are enclosed to assist the Applicants in the enrollment process. In particular, please note that the Company requires the Enrollment Form, including exhibits and attachments, to be submitted electronically and in hard copy.

For The United Illuminating Company (“UI”), the electronic Enrollment Form should be emailed to: Mark P. Colca, Manager, Pricing at mark.colca@uinet.com. The hard-copy Enrollment Form should be submitted to UI at: Mark P. Colca, Manager, Pricing, The United Illuminating Company, 180 Marsh Hill Road, Mail Stop AD-2A, Orange, CT 06477-3629.

Upon receipt of the Enrollment Form by email, the Company will issue a date/time receipt. All notifications made by the Company will be to the email address provided by the Applicant. The Company will make reasonable efforts to notify the Applicant as to whether the Enrollment Form is satisfactory or whether the Applicant must submit additional information. Only when the Enrollment Form has been deemed satisfactory and accepted by the Company will the Applicant be notified of such acceptance and their eligibility to receive payments under this tariff.

Note that Applicants have an ongoing obligation to amend and/or supplement the information contained in this Enrollment Form. An Applicant must both initially and on an ongoing basis operate in accordance with Good Utility Practice and any applicable requirements and standards issued by the EDC, ISO-NE, and NEPOOL GIS as well as any applicable requirements and standards issued by the Company. Applicants must also meet (at the Applicant’s expense) any metering and telemetering requirements designated by the Company.

¹ “Company” as referenced in this Application refers to The United Illuminating Company.

FILING INSTRUCTIONS – SHARED CLEAN ENERGY FACILITY ENROLLMENT FORM

ENROLLMENT FORM: The Enrollment Form will be made available on PURA’s web site (www.state.gov/pura) and thereafter on the Company’s website (www.uinet.com).

WHAT TO FILE: The Applicant must submit the Enrollment Form, including all exhibits and attachments, electronically in pdf format. A signed copy of the Enrollment Form, including all exhibits and attachments, must also be submitted in hard-copy. The electronic Enrollment Form should be submitted to: Mark P. Colca, Manager, Pricing at mark.colca@uinet.com. The hard-copy Enrollment Form should be submitted UI at: Mark P. Colca, Manager, Pricing, The United Illuminating Company, 180 Marsh Hill Road, Mail Stop AD-2A, Orange, CT 06477-3629.

REQUIRED DOCUMENTATION: The Applicant must submit the following (each separate attachment should be clearly labeled as indicated in footnote 2):

- Shared Clean Energy Facility Enrollment Form
- Appendix 1: Interconnection Agreement (existing customers) or preliminary results from an Interconnection Application (new customers)
- Appendix 2: Subscriber Accounts Form
- Appendix 3: Subscriber Change Form (only in instances where a change occurs)
- Final PURA approved Purchase Price(s) filed by DEEP with PURA

QUESTIONS: Questions regarding the Enrollment Form or enrollment process should be directed to:

- UI: Mark P. Colca, Manager, Pricing at 203-499-3622 or mark.colca@uinet.com

GOVERNING LAW: The Company will review the Enrollment Form pursuant to the criteria set forth in The Act as well as any statutes or regulations relevant to SCEFs that are later amended, enacted or adopted. In reviewing the Enrollment Form, the Company will also rely on its Shared Clean Energy Facility Rider Pilot (effective date April 1, 2017).

2 Attachment number and name should appear on the top of each page, and the pages of each attachment should be separately numbered.

Shared Clean Energy Facility Pilot Program Enrollment Form

Enrollment Form ID: _____
(To be completed by EDC-do not fill in)

Please fill out the following completely.

This Enrollment Form incorporates and adopts all definitions contained in the Company's Terms and Conditions to the Shared Clean Energy Facility Rider Pilot, effective date TBD, and any statutes or regulations relevant to shared clean energy facilities that may be later amended, enacted or adopted.

A) Subscriber Organization Information

(A-1) Please provide the following Subscriber Organization information:

Applicant Name: _____
Applicant Address: _____
Telephone: _____ Email Address: _____
Name of Facility: _____
Address of Facility: _____

If the Facility has an existing service account, please provide the following:

Billing Account Number: _____ Meter Number: _____

Please provide banking instructions for any payments to be made by the Company. In addition, please attach a *W-9 Request for Taxpayer Identification Number and Certification* ("W-9 Form"):

Bank Name: _____
Bank Address: _____
ABA Number: _____
Applicant's Account Number: _____

B) Subscriber Organization Eligibility (please complete each section)

(B-1) Has the Subscriber Organization been selected by DEEP as winning bidder in the Shared Clean Energy Facility pilot program RFP process?

Yes (Please provide the supporting documentation [and the RFP] with this Enrollment Form)

No (You are not eligible for the Shared Clean Energy Facility Pilot Program)

(B-2) If the response to B-1 is yes, please indicate the rates that were selected in the RFP and approved by PURA and provide a copy of PURA approval of those rates.

(B-3) Please provide the guaranteed in-service date of the Shared Clean Energy Facility.

_____ In-service Date (mo./yr.)

(B-4) What is the term (in years) accepted in the RFP by DEEP? Please provide approval documentation.

_____ Years

(B-5) What is the installed capacity and expected annual energy production of the facility as provided in your bid?

Capacity _____ (kW AC)

Annual Energy Production _____ (kWh)

C) Subscriber Information

(C-1) Does the Subscriber Organization have Subscribers?

Yes (proceed to C-2)

No (the Subscriber Organization must provide a listing of Subscribers no later than one hundred twenty (120) days prior to commencement of service)

(C-2) The Subscriber Organization and all Subscribers being submitted in Appendix 2 are served by the same EDC.

Yes (proceed to C-3)

No (contact the Company)

(C-3) Do all initial Subscribers being submitted in Appendix 2 meet the definition of Subscribers provided in the Company's Shared Clean Energy Facility Rider's Pilot Terms and Conditions?

Yes (complete Appendix 2)

No (contact the Company)

*** Any changes to the Subscribers designated under this section during the Enrollment Form process are subject to the Company's approval. Changes to Subscribers shall be submitted to the Company in Appendix 3.

(D) A Subscriber Organization may change its list of Subscribers once per month. Any Subscriber Organization seeking to change its list of Subscribers must provide fifteen (15) days prior notice to the Company in writing, and such change shall be documented by submittal of Appendix 3. If no changes are received in a given month, the Company will continue to allocate the credits in accordance with the Subscribers on file with the EDC.

(E) The parties shall make reasonable efforts to resolve any disputes regarding the Enrollment Form or the application process. In the event that the parties are unable to resolve a dispute, the Applicant may seek guidance from PURA.

F) A signature on this Enrollment Form shall constitute certification that (1) the individual signing on behalf of the Subscriber Organization is authorized to execute this Enrollment Form; (2) the individual signing on behalf of the Subscriber Organization has read the Tariff, the Terms and Conditions and the Enrollment Form and is fully aware of its contents; (2) the contents are true as stated, to the best knowledge and belief of the individual and the Subscriber Organization; and (3) the Subscriber Organization possesses full power and authority to sign the Enrollment Form and agrees to all of the terms of the Tariff, the Terms and Conditions and the Enrollment Form.

Subscriber Organization

By:
Name:
Title:

Date

APPENDIX 1

SUBSCRIBER ORGANIZATION INTERCONNECTION REQUIREMENTS

See Filing Instructions.

Provide Interconnection Agreement or Preliminary Results of Interconnection Application.

APPENDIX 2 SUBSCRIBER ACCOUNT FORM

Instructions: You must have a minimum of ten (10) Subscribers located within the same EDC territory as the Shared Clean Energy Facility and a Subscriber may only have one subscription to one Shared Clean Energy Facility. The number of Subscribers you may have is only limited by the estimated annual output of the Shared Clean Energy Facility. If it is more than ten please provide an attachment with the same information for each Subscriber. As outlined in the Terms and Conditions,

- At least 20% of the estimated annual output of the Shared Clean Energy Facility must be Subscribed by LMI Subscribers as defined in the Terms and Conditions (Attachment 1 of the Tariff).
- No single Subscriber may account for more than 40% of the total output of the Shared Clean Energy Facility. This limit must be maintained for the duration of the Pilot Program.
- Subscriptions by commercial and/or industrial customers may not exceed 60% of the estimated annual output of the Shared Clean Energy Facility.
- The sum of all Subscription allocations cannot exceed 100% of the proposed nameplate capacity of the Shared Clean Energy Facility.

Please provide the following information for each proposed Subscriber submitted as part of the Shared Clean Energy Facility Enrollment Form.

APPENDIX 2

SUBSCRIBER ACCOUNTS

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

APPENDIX 2

SUBSCRIBER ACCOUNTS (CONTINUED)

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____

**APPENDIX 3
SUBSCRIBER CHANGE FORM**

Please provide the following information for each change to Subscribers subsequent to those identified in the Shared Clean Energy Facility Enrollment Form. Part A and B need to be completed prior to any changes occurring to Subscribers. One sheet needs to be submitted for each occurrence.

Part A – Reason for Change

Please provide the reason for the change in the Subscriber listing (check one):

- a. New Subscriber _____
- b. Terminated Subscription _____
- c. Subscription Allocation Change _____
- d. Subscription Transfer _____
- e. Other? (please explain) _____

Part B- Subscriber Information

1. Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____
Effective Date of Change _____

FOR SUBSCRIPTION TRANSFERS, PLEASE PROVIDE THE FOLLOWING INFORMATION:

2. Customer Name: _____
Billing Address: _____
EDC Billing Account Number: _____
Historic Average Annual Electric Use _____
Subscriber Percentage: _____
Low/Moderate Residential Customer Yes _____ No _____
Effective Date of Change _____

The effective date of the change will be the billing month following notification to the EDC by the Subscriber Organization.