



AVANGRID and its affiliates is a prime contractor to the U.S. Government. We must abide by the regulations of the U.S. Small Business Administration (SBA). To that end, AVANGRID promotes and measures the inclusion of diverse suppliers in our purchasing activities. AVANGRID's ability to measure supplier diversity is dependent upon the information that we receive from you.

1) **Company Name** _____

2) **This business is a :** Check as this applies – skip if not applicable

☐ Division of ☐ Subsidiary of ☐ Franchise of

Parent Company _____

3) **Your Business is: Non-Profit or Not For Profit** (Please check one box below and return this form)

- ☐ Non-Minority Educational Institute ☐ Professional Association
☐ Historically Black College / Minority Institute ☐ Government (State, Local, Federal) Entity
☐ Other. Specify _____

4) **Your Business is: For Profit** (Please check one box below and proceed with questions 5, 5A, 6)

- ☐ Owned By US citizen(s) and is US based ☐ Owned By non-US citizen(s) and is US based
☐ Owned By US citizen(s) and is not US based ☐ Owned By non-US citizen(s) not US based

5) **Your Business size** (see attached definitions)

- ☐ Large ☐ Small

5A) **The majority of the business (51% or greater) is owned and controlled by:** (check ALL that apply)

- ☐ Minority Owned (please indicate primary ethnicity)
☐ African American ☐ Native American ☐ Asian – Pacific Islander
☐ Hispanic American ☐ Asian Indian American
☐ Women Owned
☐ Veteran Owned
☐ Service Disabled Veteran Owned
☐ Small Disadvantaged Business
☐ SBA HUB Zone (*copy of US Small Business Administration certificate is required*)
☐ Alaskan Native Corporation
☐ Indian Tribes

6) **Please list your primary NAICS Code for work being performed for Iberdrola USA.** _____

(Go here to find NAICS codes: [http://www.sba.gov/sites/default/files/files/Size_Standards_Table\(1\).pdf](http://www.sba.gov/sites/default/files/files/Size_Standards_Table(1).pdf))

Under 15 U.S.C. 645(d), any person who misrepresents its size status shall (1) be punished by a fine, imprisonment, or both; (2) be subject to administrative remedies; and (3) be ineligible for participation in programs conducted under the authority of the Small Business Act.

Signature _____ Title _____

Print Name _____ Date _____

Please email form to requestor or fax completed form to (585) 771-2820.

*****INTERNAL USE ONLY*****

____ HUBZone Status have been verified in the Central Contractor Registration (CCR) Dynamic Small Business Search Database as of ____/____/____. (*print and attach DSBSD Profile to this form*)

Current Vendor (Yes / No) SAP Number _____ Minority Indicator Code _____

DEFINITIONS:

- A. **Small Business:** The term "small business" shall mean a small business as that is defined by the Small Business Act as "independently owned and operated, not dominant in its field, and whose size falls within the size standards established by the Small Business Administration.
Source: [http://www.sba.gov/sites/default/files/files/Size_Standards_Table\(1\).pdf](http://www.sba.gov/sites/default/files/files/Size_Standards_Table(1).pdf)
- B. **Small Disadvantaged Business (SDB):** Small Disadvantaged Business "SDB" shall mean a small business concern-
- Which is at least 51 percent owned and controlled by one or more socially and economically disadvantaged individual(s); or, in the case of any publicly-owned business, at least 51 percent of the stock of which is owned by one or more socially and economically disadvantaged individuals; and
 - Whose management and daily business operations are controlled by one or more such individuals.
- C. **Minority-Owned Business:** A minority-owned business is a for-profit enterprise physically located in the United States or its trust territories, which is owned, operated and controlled by minority group members. "Minority group members" are United States citizens who are Asian, Black, Hispanic and Native American.
- Ownership by minority individuals means the business is at least 51% owned by such individuals or, in the case of a publicly-owned business, at least 51% of the stock is owned by one or more such individuals. Further, the management and daily operations are controlled by those minority group members.
- D. **Women-Owned Business:** A women-owned business shall mean a business concern:
- which is at least 51 percent owned by one or more women; or in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and
 - Whose management and daily business operations are controlled by one or more women.
- E. **Veteran-Owned Business:** A veteran-owned business shall mean a business concern:
- which is at least 51% owned and controlled by a U.S. Veteran or Veterans possessing a discharge other than dishonorable; and
 - The management and daily business operations of which are controlled by one or more veterans.
- F. **Service-Disabled Veteran-Owned Business:** The term "service-disabled veteran" means a veteran with a disability that is service connected (as defined in section 101 (16) of title 38, United States Code). Specifically, with respect to disability, that such disability was incurred or aggravated in line of duty in the active military, naval, or air service.
- Ownership by service-disabled veteran individuals means the business is at least 51% owned by such individuals or, in the case of a publicly-owned business, at least 51% of the stock is owned by one or more such individuals.
 - The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such a veteran.
- G. **Historically Underutilized Business Zone (HUBZone):** To qualify as a HUBZone, a business (except tribally-owned concerns) must meet the following criteria:
- It must be a small business for its primary NAICS code.
 - It must meet one of the following ownership and control requirements:
 - Owned and controlled at least 51% by U.S. citizens
 - Wholly owned or owned in part by one or more Indian Tribal Governments or by a corporation that is wholly owned by one or more Indian Tribal Governments
 - An ANC owned and controlled by Natives or a direct or indirect subsidiary corporation, joint venture, or partnership of an ANC
 - Wholly owned or owned in part by a CDC
 - A small agricultural cooperative or a small business concern wholly owned or owned in part by one or more small agricultural cooperatives
 - Except for certain concerns owned by Indian Tribal Governments, all other small businesses must have a principal office located in a qualified HUBZone.
 - At least 35% of all of its employees must reside in a HUBZone. Reside means to live in a primary residence at a place for at least 180 days, or as a currently registered voter, and with intent to live there indefinitely.