



## **Application Certification Form**

### **for the YEAR 9 and 10 Small Class I Zero Emission Project Tariff of The United Illuminating Company (“UI”)**

#### **Instructions:**

All capitalized terms not defined herein shall have the meanings set forth in the Rules and Process for Submitting Applications for the Small ZREC Tariff (“Application Rules”).

**The following three (3) pages must be executed by duly authorized representatives of the Applicant (page 2), Service Agreement Counterparty (page 3), and Owner of the Project Site (page 4), respectively.**

Applicant is defined as the individual or business submitting a proposal (“Application”) to be considered for selection to be awarded a long-term agreement for the purchase of ZRECs pursuant to the terms and conditions of the Small Class I Zero Emission Project Tariff (“Small ZREC Tariff”). The Applicant may or may not be the Service Agreement Counterparty and/or the Owner of the Project Site.

- Applicant is the individual or business input under “Applicant Information” in the LREC/ZREC online Application on the UI website. This individual or [an authorized representative of the] business will be required to fill out and sign the Applicant’s Signature, Commitment and Acceptance (Page 2 below).

Service Agreement Counterparty (i.e., the selling party under the Service Agreement) is defined as the legal entity desiring to enter into a Small ZREC Tariff Attachment 1: Service Agreement (“Service Agreement”). The Service Agreement Counterparty may be (i) the customer of record at the revenue meter with site control, or (ii) the site owner with consent of the customer, or (iii) the authorized developer with consent of the customer and site owner. The Service Agreement Counterparty may or may not be the Applicant and/or the Owner of the Project Site.

- Service Agreement Counterparty is the individual or business input under “Counterparty Information” in the LREC/ZREC online Application on the UI website. This individual or [an authorized representative of the] business will be required to fill out and sign the Service Agreement Counterparty’s Signature, Commitment and Acceptance (Page 3 below).

Owner of the Project Site is defined as the legal owner of the Project site. The Owner of the Project Site may or may not be the Applicant and/or the Service Agreement Counterparty.

- Owner of the Project Site is the individual or business input under “Owner of the Project Site” in the LREC/ZREC online Application on the UI website. This individual or [an authorized representative of the] business will be required to fill out and sign the Affidavit of the Owner of the Project Site’s Notarized Signature, Commitment and Acceptance (Page 4 below).
- **The signature of the Owner of the Project Site (or authorized representative of) must be witnessed by a Notary Public and documented as such.**

**All 3 pages (pages 2, 3, and 4) of this form must be filled out entirely and signed by the appropriate parties, scanned, and uploaded along with any other required documents (e.g. PE certification of average annual production or Green Bank denial letter) as one single PDF (.pdf) file.**



## Applicant's Signature, Commitment and Acceptance

Applicant agrees to submit its Application according to the instructions included in the Application Rules, the Small ZREC Portal, and the instructions provided on the UI website.

Applicant represents that it understands the requirements, terms and conditions of the Small ZREC Tariff and the Application Rules.

Applicant hereby certifies that all the statements and representations made in this Application are true and accurate to the best of Applicant's knowledge.

By submission of the Application, Applicant agrees that its Application, including the Purchase Price included in such Application, shall be firm, irrevocable and binding upon Applicant. Applicant further agrees that if it is notified that it has been selected for a Small ZREC Tariff Service Agreement, (a) Applicant will work with Service Agreement Counterparty to facilitate the execution of the Service Agreement and (b) the Purchase Price and all other components of the Application shall remain open, firm and binding until this Purchase Price and other Application information have been reflected in a fully executed Service Agreement.

Applicant certifies that it shall use its best efforts to cause the Service Agreement Counterparty to execute the Service Agreement without modification.

Applicant hereby certifies that the project's In-Service Date has occurred, or will occur, after July 1, 2011 and that the project otherwise meets all of the Program requirements.

Applicant hereby certifies that it, or if it is not the Service Agreement Counterparty, that the Service Agreement Counterparty, has the right to use the site described in the Application consistent with the requirements set forth in the Application Rules.

Applicant hereby affirms that the project's success is not contingent upon Electric Distribution Company ("EDC") assistance in any respect other than execution of the Service Agreement or other services provided by the EDCs on a routine basis to similarly situated projects, such as interconnection.

Neither Applicant nor Service Agreement Counterparty has received for the project any grants or rebates from the Connecticut Green Bank or its predecessors the Clean Energy Finance and Investment Authority or the Connecticut Clean Energy Fund.

Applicant: \_\_\_\_\_  
(Exact legal name of Individual or Business submitting Application)

UI Customer Account Number: \_\_\_\_\_  
(UI Customer Account Number for site)

Signature of Applicant  
(or a Representative of): \_\_\_\_\_

Print or Type Name of Applicant  
(or Representative of): \_\_\_\_\_  
(duly authorized)

Title: \_\_\_\_\_

Date Signed: \_\_\_\_\_

**NOTE: Electronic signatures are not acceptable.**



## Service Agreement Counterparty's Signature, Commitment and Acceptance

As a duly authorized representative of the Service Agreement Counterparty, I hereby attest that I have reviewed the statements and certifications of the Applicant provided above and certify that such statements and certifications as applicable to the project Application are true and accurate to the best of my knowledge.

I further represent that the Service Agreement Counterparty understands the requirements, terms and conditions of the Application Rules.

Service Agreement Counterparty represents that it has not received for the project any grants or rebates from the Connecticut Green Bank or its predecessors the Clean Energy Finance and Investment Authority or the Connecticut Clean Energy Fund.

The Service Agreement Counterparty further agrees that the Application, including the Purchase Price included by Applicant in such Application, shall be firm, irrevocable and binding upon Service Agreement Counterparty and that should Applicant be notified that it has been selected for a Small ZREC Tariff Service Agreement, (a) Service Agreement Counterparty shall execute the Service Agreement without modification and (b) the Purchase Price and all other components of the Application shall remain open, firm and binding until this Purchase Price and other Application information have been reflected in a fully executed Service Agreement.

Service Agreement Counterparty:

\_\_\_\_\_  
(Exact legal name of Service Agreement Counterparty, as defined above)

Signature of  
Service Agreement Counterparty  
(or a Representative of):

\_\_\_\_\_

**NOTE: Electronic  
signatures are not  
acceptable.**

Print or Type Name of  
Service Agreement Counterparty  
(or a Representative of):

\_\_\_\_\_  
(duly authorized)

Title:

\_\_\_\_\_

Date Signed:

\_\_\_\_\_



## Affidavit of Owner of the Project Site’s Notarized Signature, Commitment and Acceptance

**Note – the Owner of the Project Site’s signature is required to be witnessed by a Notary Public and documented as such.**

As a duly authorized representative of the Owner of the Project Site, I hereby attest that I have reviewed the statements and certifications of the Applicant provided above and certify that such statements and certifications as applicable to the project application are true and accurate to the best of my knowledge.

Owner of the Project Site represents that it understands the requirements, terms and conditions of the Application Rules, including site control.

Owner of the Project Site represents that it has not received for the project any grants or rebates from the Connecticut Green Bank or its predecessors the Clean Energy Finance and Investment Authority or the Connecticut Clean Energy Fund.

Owner of the Project Site further attests that the Applicant is authorized to submit this Application for the project located, in association with the development of a Small ZREC project, to be located on the Owner of the Project Site’s property (Project Site) pursuant to the 3/11/14 letter ruling of the Public Utilities Regulatory Authority.

**Owner of Project Site – Please fill out and sign the following:**

Owner of Project Site: \_\_\_\_\_  
(Exact legal name of Owner of the Project Site, as defined above)

Site Address: \_\_\_\_\_  
\_\_\_\_\_  
(Site address on UI bill and municipal land records must match)

Signature of Owner of Project Site  
(or a Representative of): \_\_\_\_\_

Print or Type Name of  
Owner of Project Site  
(or a Representative of): \_\_\_\_\_  
(duly authorized)

Title: \_\_\_\_\_

Date Signed: \_\_\_\_\_

**NOTE: Electronic signatures are not acceptable.**

**Notary Public – Please fill out and sign the following:**

Subscribed and sworn before me, this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public: \_\_\_\_\_

Commission expires: \_\_\_\_\_