

Terms and Conditions

These Terms & Conditions govern The United Illuminating Company (“UI”) Connecticut Residential Electric Vehicle (EV) Charging Program (the “Program”). By submitting the signed application, Participant acknowledges that Participant has read, understands, and agrees to these UI Terms & Conditions. Participant further acknowledges that UI has retained third party contractors to implement the Program and that the Participant may be required to accept additional Terms & Conditions from its contractors when accessing certain Program software applications provided by third party contractors. The parties acknowledge and agree that UI’s contractors are third party beneficiaries of this Agreement. This Program is offered to UI residential customers who submit a qualifying application for a Networked Level 2 Charger (“Smart Charger”) and/or a Wiring Upgrade rebate, or a Vehicle Telematics or AMI enrollment incentive on or after January 1, 2022 through December 31, 2025. The application with required documentation must be submitted online or postmarked by January 31, 2026. Funding for this Program is limited to the period indicated or while funds last.

Defined Terms

Advanced Metering Infrastructure Disaggregation (“AMI”): the analysis of Participant’s electricity usage to determine if EV charging has occurred and if Participant met the Program requirements during Demand Response Events.

Advanced Tier: a more sophisticated managed charging tier than the Baseline Tier where participants are rewarded for partnering with UI to optimize charging using UI’s managed charging platform. This helps UI operate a more cost-efficient and flexible grid and requires more coordination between the participant and UI than Baseline. As such, UI offers more incentives for Advanced customers. Participants create a charging schedule and UI optimizes the charging schedules of all EVs to drive better grid system outcomes. Participants are required to keep a schedule and do their best to not override this schedule. For more specific details, please see the “Eligibility for Ongoing Incentives” section below.

Baseline Tier: the fundamental managed charging tier that most participants should consider joining. Here, participants are rewarded for shifting a large majority of their charging to off-peak periods. There are also optional Demand Response Events which help UI respond to high system stress by curtailing EV charging at very specific times. Participation in these Events is optional, but if participants do adhere to these charging curtailments, they will be rewarded for their participation. For more specific details, please see the “Eligibility for Ongoing Incentives” section below.

Demand Response Event (“Event(s)”): actions taken by UI during the Demand Response Season to curtail Participant’s EV Charging in response to certain electric system conditions that UI determines pose a risk to safe and/ or reliable operation of the electric system.

Demand Response Season: The period of June 1st through September 30th annually throughout the Participant’s enrollment in the Program.

Holidays: for purposes of this Program, holidays are any of the following holidays recognized by the State of Connecticut: New Year’s Day, Martin Luther King, Jr. Day, Lincoln’s Birthday, Washington’s Birthday, Good Friday, Memorial Day, Juneteenth Day, Independence Day, Labor Day, Columbus Day, Veteran’s Day, Thanksgiving Day, and Christmas Day. If the holiday falls on a weekday, the participant will not be expected to curtail their charging on that day.

Managed Charging Platform: a software and/or web-based portal that Participants will use to manage their participation in the Program. This Platform will allow Participants to track their performance with regards to earning incentives within their given tier of participation.

Networked Level 2 Charger (“Smart Charger”): a device used to supply an EV with electrical power. A Smart Charger connects to the Internet via wi-fi or cellular signals that enables wireless communication between the Smart Charger and internet-based systems provided by the Smart Charger manufacturer (i.e. a cell phone application or web-based portal).

Non-Networked L2: a device used to supply an EV with electrical power that does not connect to or communicate wirelessly with the internet.

Original Equipment Manufacturer (“OEM”): the original manufacturer of an electric vehicle or Smart Charger.

Participant: A Residential Customer of UI that meets the eligibility requirements of the program and participates in either the Baseline Tier or Advanced Tier through a qualified Smart Charger, Vehicle Connection or AMI.

Program Notifications: emails, text/SMS, or other notifications related to customer responsibilities for the Baseline or Advanced Tiers of participation.

Qualified: Smart Chargers and Vehicle Connections that conform to UI’s Qualified Product List and/or are otherwise determined by UI as compatible for participation in the Program.

Residential Customer: new or existing UI Connecticut customers living in a single-family home or a multifamily home with four or fewer units on the property. Multifamily homes must be separately metered with separate UI accounts.

Vehicle Connection: the capability of a vehicle to connect to the internet via on-board telemetry (telematics) that enables wireless communication between the vehicle and internet-based systems provided by the vehicle manufacturer (i.e. a cell phone application or web-based portal).

Wiring Upgrade: electrical wiring constructed at the Participant’s EV charging location that is required for Participant to install and operate a Networked or Non-Networked Level 2 Charger.

Eligibility Requirements for Upfront Rebates (Smart Charger, Wiring Upgrade) or Enrollment Incentives (Vehicle Connection or AMI)

Program incentives and eligibility criteria are authorized by the Public Utilities Regulatory Authority (PURA) and are subject to change. To be eligible to participate in the Program, Participant must adhere to the following conditions:

General Eligibility Requirements

- Be a UI Residential Customer with an active utility electric account.
- Own or lease a plug-in hybrid electric vehicle or a battery electric vehicle.
- The Program requires the participant to enroll in the Baseline Tier or Advanced Tier of participation and actively participate in either tier and fulfill the requirements therein. (See Eligibility Requirements for Ongoing Incentive in the Program) in order to receive any of the Upfront Rebates (Smart Charger, Wiring Upgrade) or Enrollment Incentives (Vehicle Connection or AMI).
- Participant cannot receive both a Smart Charger rebate and a Vehicle Connection or AMI enrollment incentive. Participant may combine a Wiring Upgrade with a Smart Charger rebate or Vehicle Connection enrollment incentive rebate.

Smart Charger Rebate Requirements

- UI will pay up to a \$500 rebate or the total purchase price of the Smart Charger, whichever is less. Rebates will be paid to eligible Participants who meet the General Eligibility Requirements and complete the enrollment process with a Smart Charger.
- The rebate amount will be less any grants, credits, rebates, or other incentives received by the Participant to purchase a Smart Charger from sources external to this Program.
- Limit of two Smart Charger rebates per customer electric account.
- The Smart Charger must be listed on the EV Charger Qualified Products List ("QPL") and be installed at the service address listed on the application. The current QPL is available on the Program website: www.uinet.com/EVProgramsForYourHome
- UI must be able to confirm the Smart Charger is connected to the manufacturer-supported communication network and that UI can receive data.
- Participant will receive enrollment and activation instructions that are specific to your chosen Smart Charger in the confirmation email that you receive when you submit your Program application.
- When installed, the Smart Charger must be securely mounted to an immovable structure.
- To be eligible for the Smart Charger rebate, the installation must be completed on or after January 1, 2022.

Wiring Upgrade Rebate Requirements

- UI will pay up to \$500 or the total amount paid for qualifying expenses described below, whichever is less, to eligible Participants who meet the General Eligibility Requirements and complete the enrollment process in the Program.
- Limit of two Wiring Upgrade rebate per customer electric account.
- Qualifying expenses for the Wiring Upgrade are the installation labor, materials, and applicable permitting costs to install a 208 Volt or 240 Volt electrical circuit of 30 Amps or more to a location suitable for electric vehicle charging.
- Electrical work must be completed by a qualified professional, in full compliance with laws and regulations.
- The Participant must supply the required documents listed in the "Required Documentation" section below
- To be eligible for the Wiring Upgrade rebate, the installation must be completed on or after January 1, 2022.

Vehicle Connection (Telematics) Enrollment Incentive Requirements:

- A vehicle connection, also referred to as "Telematics", activates a network connection directly to your vehicle. Not all EV OEMs offer telematics. Check with your OEM to see if your vehicle is equipped with telematics.
- If your vehicle supports this type of telematics connection, your enrollment enables you to receive the listed rebate in conjunction with incentives accrued through participation in the Program.
- Participant will receive enrollment and activation instructions that are specific to your chosen vehicle in the confirmation email that you receive when you submit your Program application.

AMI Disaggregation Requirements:

- Participants using their electric meter to participate must join the Baseline Tier of participation. They cannot participate in the Advanced Tier of participation.
- Participants unable to connect using either a Smart Charger listed on the QPL, a Vehicle Connection, or a pre-existing, installed Smart Charger not on the QPL, may participate in the Program using AMI to confirm participation in Demand Response Events and adherence to the requirement to charge 80% or more of the time during the off-peak window (3pm to 9pm on non-holiday weekdays).
- Participants agree to receive Program Notifications via email.
- UI cannot control EV Charging for Participants enrolled with AMI. Therefore, when participating in the Baseline Tier, the Participant must avoid or otherwise stop charging their EV during the on-peak period and during Demand Response Events in order to receive incentives and remain in compliance with the requirements of the Program.
- Participants enrolling in the Program using this method agree to allow UI to monitor their energy usage to verify that the user complied with the requirements of the Baseline Tier, both charging off-peak for greater than 80% of the time and curtailing their charging during Demand Response Events.
- If a Participant avoids charging at least 80% of the time between 3pm and 9pm on non-holiday weekdays, they will have fulfilled the requirements of the Baseline Tier. If, however, they continue charging or restart charging during between 3pm to 9pm on non-holiday weekdays for more than 80% of the time, they will be considered to have not fulfilled the requirements of the Baseline Tier.
- If a Participant does not charge their EV for the entire duration of the Event, they will be considered as participating in that Event. If, however, they continue charging or restart charging during the Event, they will be considered to have not participated in the Event.
- Participant will receive enrollment instructions in the confirmation email sent after submitting the Program application.

APPLICATION: The application must be filled out completely, truthfully, and accurately. The Participant must submit the completed application with the required documentation requirements listed below.

REQUIRED DOCUMENTATION: Required documents are:

Smart Charger Rebate:

- Receipt or invoice marked as paid showing the charger manufacturer, model name/number, and purchase price
- A photo of the charger installed at the service address. When installed, the Smart Charger must be securely mounted to an immovable structure.
- A photo of the charger's label, clearly showing the serial number

Wiring Upgrade Rebate:

- An invoice marked as paid showing the installation address, the contractor's name, license number and address, the date the work was completed, the cost of labor and materials (separate from the EV charger cost if it is included on the invoice)
- A photo of the location served by the new electrical circuit (if you are also submitting a smart charger application, the photo of the installed charger meets this requirement)
- Copy of local building permit

Vehicle Connection (Telematics) Enrollment Incentive:

- Scan or photo of your Connecticut vehicle registration

W-9:

- If the assigned payee of rebates is a business and the total rebate amount is greater than \$600, you must attach a completed W-9 and rebates will be reported to the IRS unless proof of tax-exempt status is provided.

PAYMENT: Payment for complete and accurate applications are typically issued within 10-20 business days. Payments are sent via U.S. Postal Service, which requires several more days for delivery. Please contact the Program with questions about your rebates by emailing UIEVSupport@clearesult.com or calling (888) 978-1440.

APPROVAL AND VERIFICATION: UI reserves the right to verify Smart Charger equipment installation and network connectivity before or after issuing a rebate. Participant agrees to provide reasonable access to Participant's residence to inspect and verify installation and connectivity for up to two years after the date of the application. UI reserves the right to verify sales transactions. UI and its contractors do not make any warranties or representations of any kind, whether statutory, expressed, or implied for the performance or installation of the equipment as a result of the approval and verification process. Participant also grants UI the right to confidentially share account number information internally for rebate processing procedures.

TAX LIABILITY: UI will not be responsible for any tax liability that may be imposed on the Participant as a result of the payment of rebates.

ENDORSEMENT: UI does not endorse any particular manufacturer, contractor, vendor, product, retailer or system design in promoting this Program.

LIMITATION OF LIABILITY: Liability of UI and its contractors is limited to paying the rebates specified. UI and its contractors are not liable for any damages arising out of or resulting from participation in this rebate offer, including but not limited to loss of profits, loss of revenues, failure to realize expected savings, loss of data, loss of business opportunity, or similar losses of any kind, as well as any indirect, incidental, punitive, special, or consequential damages, or for any damages in tort connected with or resulting from participation in this Program. Applicant understands that all funding for rebates and incentives under this Program derives from UI ratepayers in part by approval from the Public Utilities Regulatory Authority (PURA). UI does not guarantee the availability of funding for the Program and is not responsible for any costs or damages incurred by applicant if funding for this program is reduced or eliminated by the State of Connecticut or PURA action. Funding is subject to change at any time without notice.

OWNER'S CERTIFICATION: Owner certifies that he/she has purchased the system listed on the application and the charger was installed by a licensed contractor at the defined location. Owner agrees that all information in the application is true and that he/she has conformed to all Program and equipment requirements listed.

WARRANTIES: UI AND ITS CONTRACTORS DO NOT WARRANT THE PERFORMANCE OF INSTALLED EQUIPMENT, EXPRESSLY OR IMPLICITLY. UI and its contractors make no warranties or representations of any kind, whether statutory, expressed, or implied, including without limitations, warranties of merchantability or fitness for a particular purpose regarding the equipment or services provided by a manufacturer, contractor or vendor. Contact your retailer, manufacturer, vendor or contractor for details regarding equipment performance and warranties.

Eligibility Requirements for Ongoing Incentives: The Ongoing Incentives require a continuous communication link between UI and the Participant's Smart Charger, Vehicle Connection, or electric meter (AMI meter). This allows UI to request a reduction in power used for EV charging throughout the year to reduce demand during times of high stress on the electrical system. By enrolling in the Program, the Participant authorizes UI to automatically adjust or stop the Qualified Smart Charger or Vehicle Connection charging speeds and charging times during these times. UI cannot directly control EV charging with a Participant's AMI meter. Therefore, the Participant is required to manually stop or otherwise avoid charging during key times, and UI will verify this is done by analyzing the usage data collected by the AMI meter.

Participants in the Program are required to choose one of two participation tiers: Baseline Tier or Advanced Tier. Participants enrolling their AMI meter are not able to participate in the Advanced Tier. Below are Terms and Conditions of each tier. These Terms and Conditions are exclusive of one another and do not overlap. Participants will join one tier or the other, not both.

It is the Participant's responsibility to educate themselves on the details of the Program and choose the participation tier that best aligns with their needs and ability to meet relevant requirements of the selected tier. This means that Participants must familiarize themselves with the Program Participant Guide before deciding whether and how to join this Program. Please visit our website [here](#) for this guide.

Baseline Tier Participation Requirements:

In order to be eligible for ongoing incentives in this tier, Participants must agree to and follow the guidelines below.

UI will monitor each Participant's charging behavior and reward Participants for their performance against two different sets of criteria: *ability to consistently charge during the off-peak period and responding to optional Demand Response Events.*

Charging Off-Peak (Required):

- For Participants to receive incentives in the Baseline Tier, they must ensure that 80% or more of the time that they charge occurs during the off-peak period.
- The off-peak period is anytime outside of the on-peak period which is 3pm to 9pm on non-holiday weekdays.
- If a Participant charges their EV 80% of the time during the off-peak period in a given month, they will be entitled to a \$10 incentive for that month.
- An enrolled Participant in the Baseline Tier could earn up to \$120 per year for full participation in off-peak charging in each of the 12 months of the year.
- Participants will use the Managed Charging Platform to set their EV's charging schedule to ensure that charging happens outside of the 3pm to 9pm window on non-holiday weekdays.
- Participants will be able to track their performance and adjust their behavior by creating an account and accessing the Manage Charging Platform Provided by UI.
- Failure to earn an incentive in a given month does not impact incentives in other months.

Demand Response Events (Optional):

- During the summer months (June to September) there is higher stress on UI's system. UI will initiate a pause in charging during specific high-stress hours of the year. By default, UI will pause charging of all Participants, but Participants are not required to participate in these Events.
- If a Participant does not want to participate in an Event, they can simply use the Managed Charging Platform to opt out of that Event.
- For each of the four summer months, there is a \$20 incentive available to Participants who do not opt-out of any event in a given month.
- Earning \$20 per month for four months, a Participant could earn \$80 per year in addition to the incentives available for off-peak charging if that Participant does not opt out of any Events throughout the Demand Response Season.

Key Details & Participation Requirements for Demand Response Events:

- The Demand Response Season is June 1 to September 30.
- During these months, UI will call a maximum of 15 Events per month; however, it is unlikely that this many Events will be called in any given month.
- An event may be between 2 and 3 hours in duration and occur between 3pm-9pm on non-holiday weekdays.
- During the Event, the power delivered to any device will be reduced or suspended.
- Participants retain the ability to continue charging during this time, but will have to use either the Managed Charging Platform or their own EV or EV charger app to do so. By continuing to charge during this time, the Participant will be considered to have opted out of the event.
- To earn the Demand Response incentive in a given month, the Participant must not opt out of the Events in that month.
- Failure to earn an incentive in a given month does not impact incentives in other months.
- At the end of the Event, power delivery should resume at its normal level. In some cases, devices fail to return to their normal operating mode at the end of an Event. UI therefore recommends that Participants check the status of their device after receiving the end of Event Notification.
- UI will notify Participant prior to an Event. In an emergency, UI may deploy an Event without notice if deemed necessary. (See Participation Guide for more information on Emergency Events.)

Advanced Tier Participation Requirements:

In order to be eligible for ongoing incentives in this tier, Participants must agree to and follow the guidelines below.

UI will monitor each Participant's charging behavior and reward Participants for their performance against one set of criteria: the ability to coordinate with UI to set and maintain a charging schedule that avoids on-peak charging.

Setting a Managed Charging Schedule in the Advanced Tier:

- The Advanced Tier requires Participants to use UI's Managed Charging Platform to set and maintain two inputs: a **State of Charge (SOC)** (in app, called "maximum charge limit") that the Participant needs, and the **Time Charge is Needed (TCIN)** (in app, called "ready by time"). The Participant will use the Managed Charging Platform to set these inputs and manually adjust them as their needs change.
- Using these inputs, UI will optimize the charging of all Advanced Tier Participants and avoid charging during on-peak periods while ensuring that each Participant receives the SOC they need at their desired TCIN.
- Participants always retain the right to opt out of their schedule and resume charging.
- Participants who do not charge more than two 15-minute sessions during an on-peak period, defined as 3:00 P.M. through 9:00 P.M. on non-holiday weekdays will be eligible for a \$25 in the given month.
- UI retains the right to curtail EV charging at any time of day, however this will be rare outside of the on-peak period and Participants always retain the right to override curtailments.

- An enrolled Participant in the Advanced Tier could earn up to \$300 per year for full participation in scheduled charging in each of the 12 months of the year.
- The participating EV or EV Charger must be used for a minimum of two 15-minute intervals per month.
- By virtue of requiring Participants to regularly adjust these inputs, the Advanced Tier requires Participants to do more on a daily basis than the Baseline Tier. **As such, it is the Participant's responsibility to understand the requirements associated with this tier before enrolling.**

Key Details & Participation Requirements Applicable to Baseline & Advanced Tiers:

- Participant agrees to actively participate in the Program for a 24-month period from the date of vehicle or EV charger connection to the Managed Charging Platform.
- Participant is required to remain in their tier of choice for a 12 month period from the date of vehicle or EV charger connection to the Managed Charging Platform. After that 12 month period, the participant can decide to change their tier of participation.
- When resuming the charge delivered to the Participant's EV or EV charger after curtailing or throttling charging in either Baseline or Advanced Tiers, United Illuminating may use a "staggered start" for the participating EVs and EV chargers. This would mean that the Participant's charging may be staggered 15 or 30 minutes to avoid creating a new peak when all EVs and EV chargers resume charging at once.
- If the Participant disagrees with the monthly charging results, the Participant can dispute their results by submitting an appeal via email to HomeEv@uinet.com. UI will review your charging history and confirm the accuracy of the results of the monthly charging report. UI reserves the right to uphold results in the monthly report and deny appeals.
- Participants joining the program will be able to participate in Managed Charging from the date of vehicle or EV charger connection to the Managed Charging Platform. If a Participant enrolls after the 15th day of a given month, the Participant can participate, but will not receive incentives for that month, and will begin receiving incentives for months following the end of that month.
- Participants joining before the 15th of a given month will be entitled to the relevant incentives of their participation tier for that month, subject to the requirements listed above and assuming the Participant meets those relevant requirements.
- Participants can expect to receive the payment for incentives earned in a given quarter in the form of a deposit to their Paypal or Venmo account quarterly, the month following the end of each quarter. PayPal and Venmo are subject to additional terms and conditions of the issuer.
- Any incentives earned by a Participant for participation in optional Demand Response Events during the Demand Response Season will be included in the relevant quarter.
- Rebates will be issued to the UI account holder's name listed on the Rebate application unless otherwise directed by the Participant.
- UI and its contractors may offer alternative rebate or reward structures in lieu of the rebates or rewards described above.

Program Participation Timelines and Withdrawal:

- Participants may unenroll from the Program without penalty after participating in the Program for a minimum of 24 months from the date of vehicle or EVSE connection to the UI's Managed Charging Platform. After the initial 24 months, the Participant will continue in the Program until they unenroll by emailing HomeEV@uinet.com.
- Participants unenrolling prior to completing the 24 months of participation or that continually fail to meet the performance requirements of their chosen participation tier (Baseline Tier or Advanced Tier), may be required to pay back a prorated portion of the Smart Charger Rebate, Wiring Upgrade Rebate, or Vehicle Connection or AMI Enrollment Incentive. Exceptions may be granted at UI's sole discretion.
- If Participant moves to a different residence within the UI service area, Participant may continue to participate in the Program if the new residence meets the eligibility requirements in these Terms and Conditions. Participant must communicate any change of address to the Program. A Participant moving outside of UI territory shall be an approved reason for ending participation before completing 24 months.
- Rebates available under the Program may be changed, modified, substituted, replaced, ceased, or terminated at any time at UI's sole discretion with or without notice to Participant. Participant's continued participation in the Program constitutes Participant's acceptance of any and all such changes, replacements, assignments or terminations.

Program Information Sharing:

Participant agrees and authorizes that:

- UI may send Participant emails, text/SMS, and other notifications related to the Program, including notifications about enrollment status and Program-related adjustments to the Vehicle Connections or Smart Charger settings. The Participant may select their preferred method of communication on the account management section of UI's Managed Charging Platform.
- UI may send Participant emails related to the fulfillment of seasonal incentives.
- The OEM will share certain information with UI regarding Participant required for Participant's enrollment and participation in the Program. This may include Personally Identifiable Information ("PII"). PII may include the Participant's first and last name, work and/or personal emails, home address, and phone number. The information shared may also include Participant's EV charging electricity usage information, including but not limited to charging patterns (start date and time, duration of charging), managed charging opt-out and communication network connectivity (collectively "Participant Information"). Participant releases OEM from any and all claims against it, its employees, officers and directors, arising out of or in connection with this sharing of Participant Information.
- UI may use Participant Information in order (a) to operate, administer, market, evaluate, analyze, change or improve the Program, (b) to prepare and present general, aggregated or anonymized results and information about the Program to third parties, including governmental entities such as the electricity system regulatory bodies and (c) for UI to understand and evaluate Participant habits and to inform the development and creation of utility programs and load planning. UI may also use and publish information regarding your participation in the Program and your use of the Smart Charger, Vehicle Connection, or AMI so long as the information is presented in an anonymized, aggregated format (i.e., in a manner that does not identify you).